Docket No.

213112US2/shb

IN THE UNITED STATES PATER AND TRADEMARK OFFICE

IN RE APPLICATION OF:

David MOTTIER

SERIAL NO:

09/933,737

GAU:

2661

FILED:

August 22, 2001

EXAMINER:

FOR:

BI-MODULAR ADAPTIVE CDMA RECEIVER

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the attached French Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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22850





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OSMM&N File No.

213112US2

Dept.: PP

By: MJS/shb

Serial No. <u>09/933,737</u>

In the matter of the Application of:

David MOTTIER

For:

BI-MODULAR ADAPTIVE CDMA RECEIVER

The following has been received in the U.S. Patent Office on the date stamped hereon:

pp. Specification &Claims/Drawings

Sheets

☐ Combined Declaration, Petition & Power of Attorney

pages

☐ List of Inventor Names and Addresses

□ Utility Patent Application

 \Box CPA

□ Notice of Priority

☐ Priority Doc

L Notice of Frior

ii Filolity Doc

□ Check for

■ Dep. Acct. Order Form

- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- □ Letter to Official Draftsman
- □ Letter Requesting Approval of Drawing Changes
- □ Drawings
- sheets

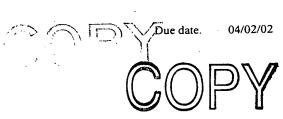
 Formal
- □ Letter
- □ Amendment
- Information Disclosure Statement
- PTO-1449

- Cited References (2)
- FRENCH Search Report (with English translation of category of cited documents)
- □ Statement of Relevancy
- ☐ English Abstracts, Concise Explanation, English Translation, Partial English Translation ()
- □ IDS/Related/List of Related Cases
- □Cited Pending Applications ()

□ Restriction Response

□ Election Response

- □ Rule 132 Declaration
- □ Petition for Extension of Time



SHEET 1 OF

SERIAL NO. U.S. DEPARTMENT OF COMMENTAL ATTY DEPARTMENT AND TRADEMARK OFFICE TRADEMARK ET NO. Form PTO 1449 09/933,737 213112US2 (Modified) APPLICANT LIST OF REFERENCES CITED BY APPLICANT David MOTTIER GROUP FILING DATE August 22, 2001 2661 **U.S. PATENT DOCUMENTS** SUB FILING DATE DOCUMENT **EXAMINER** CLASS DATE NAME **CLASS** IF APPROPRIATE INITIAL NUMBER AA AB AC AD AE. AF AG ΑН Al AJ ΑK ΑL AM ΑN FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT COUNTRY DATE YES NO NUMBER AO ΑP AQ AR AS ΑT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) H. MATSUOKA, et al., IEEE, pages 171-176, XP 000894297, "PATH DIVERSITY USING AN ADAPTIVE ARRAY WITH ΑW DIRECTIONAL ANTENNAS FOR HIGH BIT RATE MOBILE COMMUNICATION SYSTEMS ". November 8, 1998 B. HALLER, International Zurich Seminar on Broadband Communications, pages 29-36, XP-002169927, "ALGORITHMS AND VLSI ARCHITECTURES FOR RLS-BASED TIME REFERENCE BEAMFORMING IN MOBILE COMMUNICATIONS", February 17-19, 1998 ΑY ,AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

